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PTO/SB/21 (01-08)

Approved for use through 01/31/2008. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Application Number

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 10/811,226-Conf. #1072 Filing Date March 26, 2004 First Named Inventor Hermann Wagner Art Unit N/A **Examiner Name** Not Yet Assigned Attorney Docket Number C1041.70005US01

ENCLOSURES (Check all that apply)				
Fee Transmittal Form	Drawing(s)	After Allowance Communication to TC		
Fee Attached	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences		
Amendment/Reply	X Petition	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)		
After Final	Petition to Convert to a Provisional Application	Proprietary Information		
Affidavits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Address	Status Letter		
Extension of Time Request	Terminal Disclaimer	X Other Enclosure(s) (please Identify below):		
Express Abandonment Request	Request for Refund	Complete copy of previously submitted reply mailed 11/08/07 Substitute CD previously filed on 05/25/04		
Information Disclosure Statement	1 CD, Number of CD(s) 1			
Certified Copy of Priority Document(s)	Landscape Table on CD Return Receipt Postcard			
Reply to Missing Parts/ Incomplete Application	Remarks			
Reply to Missing Parts under 37 CFR 1.52 or 1.53				
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT				
WOLF, GREENFIELD & SACKS, P.C.				
Signature nulu vullaut				
Printed name Helen C. Lockhart				
Date January 10, 2008	Reg. No	39,248		

Certificate	of Mailir	a Under 37	7 CFR 1.8	(a)
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I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Docket No.: C1041.70005US01

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Hermann Wagner et al.

Serial No.:

10/811,226

Confirmation No.:

1072

Filed:

March 26, 2004

For:

PHARMACEUTICAL COMPOSITIONS COMPRISING A POLYNUCLEOTIDE AND OPTIONALLY AN ANTIGEN

ESPECIALLY FOR VACCINATION

Examiner:

Not Yet Assigned

Art Unit:

N/A

Certificate of Mailing Under 37 CFR 1.8(a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated January 10, 2008

Sharon R. Lloyd

RENEWED PETITION UNDER 37 CFR 1.181

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

INTRODUCTORY COMMENTS

Applicants hereby renew their petition that the holding of abandonment of the above-identified patent application be withdrawn under 37 C.F.R. § 1.181.

Enclosed please find the following documents in response to the Office Communication mailed on December 20, 2007.

Application No. 10/811,226 2 Docket No.: C1041.70005US01 Reply to Office Action of December 20, 2007

1. Complete copy of previously submitted reply mailed on November 8, 2007.

2. Copy of substitute CD previously filed on May 25, 2004.

No petition fee is required. The Director is hereby authorized to charge a fee if it is required, asserted to be file or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 23/2825, under Docket No. C1041.70005US01.

Dated: January 10, 2008

Respectfully submitted,

Helen C. Lockhart

Registration No.: 39,248

WOLF, GREENFIELD & SACKS, P.C.

Federal Reserve Plaza 600 Atlantic Avenue

Boston, Massachusetts 02210-2206

(617) 646-8000

JAN 14 2008 CO

PTO/SB/21 (10-07) Approved for use through 10/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE perwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 10/811,226-Conf. #1072

Filing Date March 26, 2004

First Named Inventor Hermann Wagner

Art Unit 1614

Examiner Name Not Yet Assigned

Attorney Docket Number C1041,70005US01

Total Number of Pages in This Submission ENCLOSURES (Check all that apply) Fee Transmittal Form After Allowance Communication Drawing(s) to TC Fee Attached Licensing-related Papers Appeal Communication to Board of Appeals and Interferences Amendment/Reply x Petition Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Extension of Time Request Other Enclosure(s) (please Terminal Disclaimer Identify below): **Express Abandonment Request** Petition to Withdraw Holding of Abandonment Request for Refund Copy of Response to Notice to Filing Missing Parts and associated papers filed May 25, Information Disclosure Statement CD, Number of CD(s) Copy of canceled check #440019683 Return Receipt Postcard Certified Copy of Priority Landscape Table on CD Document(s) Reply to Missing Parts/ Remarks Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name WQLF, GREENFIELD & SACKS, P.C. Signature Printed name Helen C. Lockhart Date Reg. No. November 8, 2007 39,248

Certificate of Mailing Under 37 CFR 1.8(a) I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	=
Dated: Mon. 8, 2007 Signature: Shaton R. Shyd (Sharon R. Lloyd)	

WGS Date:11/13/07

Inventor: Hermann Wagner et al.

Filing Date: March 26, 2004

Application No.: 10/811,226-Conf. #1072

PHARMACEUTICAL COMPOSITIONS COMPRISING A POLYNUCLEOTIDE

AND OPTIONALLY AN ANTIGEN ESPECIALLY FOR VACCINATION

The USPTO Mail Room acknowledges receipt of the following on the date stamped hereon:

Transmittal (1 page)

Petition to Withdraw Holding of Abandonment (2 pages)

Statement Under 37 CFR 1.8(B) to Support Petition to Withdraw Holding of Abandonment

Copy of Response to File Missing Parts and associated papers originally file (May 25, 2004

Copy of canceled check #440019683

First Class Mail - Certificate of Mailing Under 37 CF

Sender's Initials: HCL/srl

Date Mailed: November 8, 2007

1270268.1





Docket No.: C1041.70005US01

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Wagner et al.

Serial No.:

10/811,226

Confirmation No.:

1072

Filed:

March 26, 2004

For:

PHARMACEUTICAL COMPOSITIONS COMPRISING A

POLYNUCLEOTIDE AND OPTIONALLY AN ANTIGEN

ESPECIALLY FOR VACCINATION

Examiner:

Not Yet Assigned

Art Unit:

1614

Certificate of Mailing Under 37 CFR 1.8(a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: 1700 8, 2007

Sharon R. Lloyd

STATEMENT UNDER 37 CFR 1.8(B) TO SUPPORT PETITION TO WITHDRAW HOLDING OF ABANDONMENT

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicant hereby submits that the attached papers were transmitted to the USPTO by US Mail and included a certificate of mailing in compliance with 37 CFR 1.8 (a).

Dated: November 8, 2007

Respectfully submitted,

Helen C. Lockhart, Reg. No. 39,248

WOLF, GREENFIELD & SACKS, P.C.

Federal Reserve Plaza 600 Atlantic Avenue

Boston, Massachusetts 02210-2206

(617) 646-8000



Docket No.: C1041.70005US01

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Wagner et al.

Serial No.:

10/811,226

Confirmation No.:

1072

Filed:

March 26, 2004

For:

PHARMACEUTICAL COMPOSITIONS COMPRISING A

POLYNUCLEOTIDE AND OPTIONALLY AN ANTIGEN

ESPECIALLY FOR VACCINATION

Examiner:

Not Yet Assigned

Art Unit:

1614

Certificate of Mailing Under 37 CFR 1.8(a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: 100. 8, 2007

Sharon R Lloyd

PETITION TO WITHDRAW HOLDING OF ABANDONMENT

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicant hereby petitions that the holding of abandonment of the above-identified patent application be withdrawn under 37 C.F.R. § 1.181.

The above-identified application became abandoned for failure to file a timely and proper reply to a notice or action by the United States Patent and Trademark Office. The date of abandonment is the day after the expiration date of the period set for reply in the Office notice or action plus any extensions of time actually obtained.

Applicant timely filed a response with the USPTO using a certificate of mailing under 37 C.F.R. 1.8(a). Attached is a copy of the papers filed with the USPTO on May 25, 2004. A copy of

Application No.: 10/811,226 2 Docket No.: C1041.70005US01

the check submitted with the papers on May 25, 2004, indicating that the check was cashed is also enclosed. Also enclosed is a statement in compliance with 37 C.F.R. 1.8(b).

The abandonment of the patent application did not come to Applicant's attention until the Notice of Abandonment mailed from the USPTO on 09/13/07 was received by Applicant.

The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional. It is submitted, therefore, that on the basis described above the abandonment should be held to be inadvertent, the enclosed Response to Missing Parts should be entered and the case revived.

No petition fee is required. The Director is hereby authorized to charge a fee if it is required, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 23/2825, under Docket No. C1041.70005US01.

Dated: November 8, 2007

Respectfully submitted,

Helen C. Lockhart, Reg. No. 39,248

WOLF, GREENFIELD & SACKS, P.C.

Federal Reserve Plaza 600 Atlantic Avenue

Boston, Massachusetts 02210-2206

(617) 646-8000

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PIEM Account 600 Allantic Avenue Boston, MA 02210





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May 24, 2004

\$ 900.00

THE Commissioner of Patents & Trademarks

LATSIA LOBALINATURE



Serial No 10 811, 226 File No. CIOHI. 70005 USD 1 By: HCL: THE Title: PHARMACEUTICAL COMPOSITIONS COMPAISING A Application of WAGNEE ET AL WGS Date: 711104 The U.S. PTO Mall Room acknowledges receipt of the following on the date stamped hereon:	
Mailing by Express Mail (37 CFR 1.10) Express Mail Label No. Patent Application Patent Application Provisional Provisional Application Cover Sheet Multiple Dependent Claim Fee Sheet Inf. Discl. Statement, PTO Form 1449 Provisional Application Provisional Application Cover Sheet Multiple Dependent Claim Fee Sheet Inf. Discl. Statement, PTO Form 1449 Provisional Application Cover Sheet Multiple Dependent Claim Fee Sheet Inf. Discl. Statement, PTO Form 1449 Provisional Application Provisional	
DATE MAILED MAY 25, 2004	





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Wagner et al.

Serial No.:

10/811,226

Title:

PHARMACEUTICAL COMPOSITION COMPRISING A

POLYNUCLEOTIDE AND OPTIONALLY AN ANTIGEN

ESPECIALLY FOR VACCINATION

Filed:

March 26, 2004

Examiner:

Unassigned

Art Unit:

1614

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 35 day of May, 2004.

Helen C. Lockhar

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL



Sir:

Transmitted herewith are the following document(s):

- [X] Copy of Notice to File Missing Parts of Nonprovisional Application;
- [X] Response to Notice to File Missing Parts;
- [X] Statement Pursuant To 37 C.F.R. §1.821(f);
- [X] Substitute CRF Sequence Listing Disk;
- [X] Check in the amount of \$900.00; and
- [X] Return Receipt Postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

Serial No.: 10/811,226



Art Unit: 1614

A check in the amount of \$900.00 is enclosed for the filing fees and late oath or declaration surcharge. If the fees are insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

Helen C. Lockhart, Reg. No. 39,248 WOLF, GREENFIELD & SACKS, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210

Tel: (617) 720-3500

Docket No.: C1041.70005US01

Date: May 25, 2004

x07/11/04





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Wagner et al.

Serial No.:

10/811,226

Title:

PHARMACEUTICAL COMPOSITION COMPRISING A

POLYNUCLEOTIDE AND OPTIONALLY AN ANTIGEN

ESPECIALLY FOR VACCINATION

Filed:

March 26, 2004

Examiner:

Unassigned

Art Unit:

1614

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The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the day of May, 2004.

Helen C. Lockhart

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450



TRANSMITTAL

Sir:

Transmitted herewith are the following document(s):

- [X] Copy of Notice to File Missing Parts of Nonprovisional Application;
- [X] Response to Notice to File Missing Parts;
- [X] Statement Pursuant To 37 C.F.R. §1.821(f);
- [X] Substitute CRF Sequence Listing Disk;
- [X] Check in the amount of \$900.00; and
- [X] Return Receipt Postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

Art Unit: 1614

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Respectfully submitted,

Helen C. Lockhart, Reg. No. 39,248

WOLF, GREENFIELD & SACKS, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210

Tel: (617) 720-3500

Docket No.: C1041.70005US01

Date: May 25, 2004

x07/11/04







UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vigginis 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/811,226

Wolf, Greenfield & Sacks, P.C.

Helen C. Lockhart

600 Atlantic Avenue Boston, MA 02210 03/26/2004

Hermann Wagner

C1041.70005US01

CONFIRMATION NO. 1072

FORMALITIES LETTER

OC000000012583574

Date Mailed: 05/11/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d). Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$900 for a Large Entity



- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



COPY

IN THE DEED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Wagner et al.

Serial No.:

10/811,226

Title:

PHARMACEUTICAL COMPOSITION COMPRISING A

POLYNUCLEOTIDE AND OPTIONALLY AN ANTIGEN

ESPECIALLY FOR VACCINATION

Filed:

March 26, 2004

Examiner:

Unassigned

Art Unit:

1614

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 25 day of May, 2004.

Helen C. Lockhart

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

This is in response to the Notice to File Missing Parts of Nonprovisional Application that was mailed from the United States Patent and Trademark Office on May 18, 2004.

Enclosed, please find a substitute computer readable form copy of the Sequence Listing identical to the one filed on March 26, 2004. No new matter has been added. Also, enclosed is a check in the amount of \$900.00 to cover the cost of the basic filing fee (\$770.00) and late oath or declaration Surcharge (\$130.00).

Accordingly, Applicants believe that the enclosed check is responsive to the Notice to File Missing Parts.



Serial No.: 10/811,226 2 Art Unit: 1614

If any other information is needed, please contact the undersigned attorney by phone (617) 720-3500 to expedite the further prosecution of this patent application. If the enclosed fees are not sufficient, the balance may be charged to our Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

Helen C. Lockhart, Reg. No. 39,248 WOLF, GREENFIELD & SACKS, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210

Tel: (617) 720-3500

Docket No.: C1041.70005US01

Date: May 25 2004

x07/11/04x





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Wagner et al.

Serial No.:

10/811,226

Title:

PHARMACEUTICAL COMPOSITION COMPRISING A

POLYNUCLEOTIDE AND OPTIONALLY AN ANTIGEN

ESPECIALLY FOR VACCINATION

Filed:

March 26, 2004

Examiner:

Unassigned

Art Unit:

1614

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Helen C. Lockhart

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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This is in response to the Notice to File Missing Parts of Nonprovisional Application that was mailed from the United States Patent and Trademark Office on May 18, 2004.

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Respectfully submitted,

Helen C. Lockhart, Reg. No. 39,248

WOLF, GREENFIELD & SACKS, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210

Tel: (617) 720-3500

Docket No.: C1041.70005US01

Date: May 252004

x07/11/04x





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Wagner et al.

Serial No.:

10/811,226

Title:

PHARMACEUTICAL COMPOSITION COMPRISING A

POLYNUCLEOTIDE AND OPTIONALLY AN ANTIGEN

ESPECIALLY FOR VACCINATION

Filed:

March 26, 2004

Examiner:

Unassigned

Art Unit:

1614

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The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the **Q**5 day of May, 2004.

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

STATEMENT PURSUANT TO 37 C.F.R. §1.821(f)

This statement is made pursuant to 37 C.F.R. §1.821(f). Applicants enclose herewith substitute computer readable diskette. Applicants' representative states that the information recorded in the computer readable form is identical to the written Sequence Listing filed on March 26, 2004. No new matter has been added.

Respectfully submitted,

Helen C. Lockhart, Reg. No. 39,248

WOLF, GREENFIELD & SACKS, P.C.

600 Atlantic Avenue Boston, MA 02210-2211

(617)720-3500

Attorney's Doc. No.: C1041.70005US01

Date: May 252004

x07/11/04x